APPROVED

at a meeting of the Academic Council of NJSC "KazNU named after al-Farabi" Protocol №11 from 1<u>1.06.2024</u> y.

The program of the entrance exam for applicants to the PhD for the group of educational programs D058 – Literature

1. General provisions.

- 1. The program was drawn up in accordance with the Order of the Minister of Education and Science of the Republic of Kazakhstan dated October 31, 2018 No. 600 "On Approval of the Model Rules for Admission to Education in Educational Organizations Implementing Educational Programs of Higher and Postgraduate Education" (hereinafter referred to as the Model Rules).
- 2. Entrance examination in doctoral studies consists of an Interview, Essay writing and Examination on the profile of the group of educational programs.

Block	Scores
Interview	30
Essay writing	20
3. Examination on the profile of the group	50
of educational programs	
Total/Passing level	100/75

3. The duration of the entrance examination is 3 hours and 10 minutes, during which the applicant writes an essay and answers the electronic examination ticket. The interview is held on based of the university before the entrance exam.

2. Procedure for the entrance examination.

1. Applicants for doctoral studies in the group of educational programs D058 – "Literature" write a problematic / thematic essay. The volume of the essay is at least 250 words.

The purpose of the essay is to determine the level of analytical and creative abilities, expressed in the ability to build one's own argumentation based on theoretical knowledge, social and personal experience.

Types of essays:

- motivational essay revealing the motivation for research activities;
- scientific-analytical essay justifying the relevance and methodology of the planned research;
- problem/thematic essay reflecting various aspects of scientific knowledge in the subject area.
 - **2.** The electronic examination card consists of 3 questions.

Topics for exam preparation according to the profile of the group of educational program

Discipline «Actual Problems of Literary and Folklore Studies»

Topic 1. Traditional and innovative paradigms of theoretical literary studies. Actual problems of modern literary studies. The traditional socio-historical paradigm of theoretical literary studies. Writer and time. Trends in the development of modern literary criticism. Innovative

- comparative, intertextual, gender, semiotic, narratological, discursive, postmodern, ontological, hermeneutic, psycho-poetic paradigms of theoretical literary studies.
- **Topic 2. History of literature and culture.** Literature and culture. The historical and cultural process and its influence on the consciousness of the writer. The main tasks facing artistic creation and the problems of poetics. Literary creativity and science. The nature of art. Irrational factors in the psychology of creativity, their role in the creative process and codes of figurative reflection. Literature and national outlook.
- **Topic 3. Prose, poetry, drama from the point of view of modern science.** Poetry and prose. The principles of differentiation. Modern prose. Features of prose of modernism and postmodernism. Neomythologism and neomythological consciousness. Problems of rhythm in poetry. Genre forms of modern poetry. The problem of tradition and innovation in modern poetry. The structure of the dramatic work.
- **Topic 4. The system of genres.** The concept of the literary genre. Literary genre and literary genre. Genre and style. The meaning of literary innovation. Genre content and genre form. The problem of genre typologies. Genre nature of the artistic work. The system of genres of modern Kazakh and Russian literature.
- **Topic 5. Actual problems of literary comparative studies.** The purpose and objectives of comparative literature. Contact-typological relations of literature. The conceptual and methodological apparatus of literary comparative studies.
- **Topic 6.** The problem of the interaction of literature of the East and West. Typological features of Western and Eastern romanticism. National identity of romanticism in the poetry of Kazakh akyns of the XIX century. Romanticism in the Kazakh literature of the XX century. Typological features of modernism. Modernism in Kazakh, Russian and European literature. Realism in Kazakh and Russian literature of the XX century. Literary relations of Kazakhstan.
- **Topic 7. Intertextuality: basic concepts.** Text as a concept of philology. Text as a concept of semiotics and cultural studies. Text in postmodern concepts. The concept of intertext. The philosophical foundations of intertext. Theory of Y. Kristeva. Intertext in prose of the twentieth century. The text as a dialogue between the author, the reader and the cultural context. Quote, allusion. Reminiscence.
- **Topic 8. Mythopoetics and archeopoetics.** Mythopoetics and archeopoetics as a field of literary criticism. The concept of archetype. The functions of archetypes in fiction. Myth and literature. Mythological images and motifs in modern literature. The functions of myths in artistic literature.
- **Topic 9. Semiotics and narratology.** Semiotics as a field of scientific research. The basic concepts of semiotics. Moscow- Tartu and French schools in semiotics. The subject and tasks of narratology. The concept of narrative. The nature, types and functions of the narrative. Narrative and discourse.
- **Topic 10. The category of chronotope in the structure of an artistic work.** Features of art time and space. Time and space in the structure of the work. Chronotope theory M.M. Bakhtin. The concept of time-space in modern literary study. The category of temporal rhythm in literature (theory of A.B. Temirbolat). Chronotope functions in an artistic work.
- **Topic 11. Artistic anthropology.** Artistic anthropology: external and internal people. Types of characters in the literature. Means of revealing the character of the hero in a work of art. Type and character. The inner world of the hero. The man and culture in literature. Theory of V.V. Savelyeva.
- **Topic 12. Psycho-poetics. Hermeneutics. Receptive aesthetics.** Psychoanalytic literary criticism. The problem of the author. Theory of Z. Freud. The genesis of art and the concept of dreams. The problem of perception of art. Forms of Freudian psychoanalytic criticism. Theory of C. Jung. Modern psycho-poetics in the works of E.M. Meletinskiy. Structural-psychoanalytic literary study of J. Lacan. Hermeneutics and receptive aesthetics.
- **Topic 13. Mass literature.** The problem of mass culture and literary hierarchies. Elitist and anti-elitist concepts of art and literature. Literary classics. Fiction. Artistic features of mass

literature. Genres of mass literature.

- **Topic 14. Tendencies of the development of modern literary criticism.** Literary criticism and theory of literature. The tasks of modern literary criticism. Critic and author. Critic and reader. Literary criticism and the fate of a work of art. Genres of literary criticism. Scientific article and literary critical article.
- **Topic 15. Folklore in various fields of modern culture.** Traditions in culture and the field of folk art. The myth in folklore and literary poetics. Literature, folklore and folk art. National and regional specifics of literature and folklore, determined by ethnic characteristics and ways of cultural and historical development of peoples.

Discipline «Methodological problems of literary and folklore studies»

- **Topic 1. Methodological problems of modern literary studies.** The historical development of the methods of literary studies biographical, mythological, comparative. The mythological theory of the Brothers Grimm, the theory of borrowing Benfei, the philological method of G. Paul and V. Peretz (textology), the psychological method of Potebni, the concept of Z. Freud, the cultural-historical method of I. Ten. Formalistic, structuralist theory at the beginning of XX century. Socio-historical method. Modern literary studies and new technologies and methods of studying literature (intertextuality, deconstruction, poststructuralism, interdisciplinary research, semiotic research).
- **Topic 2. Specificity of artistic literature.** Specificity of fiction. Basic properties of literature: imagery. significance, conceptuality, consistency. The concept of mimesis. Categories of aesthetic activity (theory of Yu. Borev). Fiction and the artistic world. Poetic language and literary language.
- **Topic 3. Tradition and innovation.** The problem of continuity in literature and culture. Tradition and innovation in art. The literature of realism and the literature of modernism. Artistic searches of modernist writers. Traditions and innovations in modern literature of Kazakhstan.
- **Topic 4. Literature and folklore: community and specificity of scientific tools.** Modern science of literature and folklore. The specifics of folklore as an oral and poetic art. Genesis, specificity and patterns of systemic relationships of folklore and literature as forms of art of the word. Literature and folklore, religion, ethnography, ethnology, philosophy, history.
- **Topic 5. Literature and culture.** Literature in the social space and the laws of cultural communication. International cultural and literary relations as an important source of enrichment of national literatures. Typological convergence and convergence in literature and culture. Literary text as a constant of culture. Artistic picture of the world as a literary reflection of the worldview concepts of culture.
- **Topic 6. Methodical problems of literary studies.** New technologies, methodological innovations and applied aspects in literary studies. Creation and translation of various types of texts. Annotation and abstracting of texts. Literary style.
- **Topic 7. Peculiarities of artistic thinking.** Author's consciousness and its reflection in an artistic work. The psychology of the author as the most important component of an artistic work. Images and representations. Creative fantasy and fiction. Dialectical nature of artistic consciousness.
- **Topic 8. Scientific and creative literary study.** The main tasks of literary study. The content of the concept of "creative literary study". Distinctive features of scientific literary study. Features of the approach to the study of literary text in scientific and creative literary studies. The value of creative literary study.
- **Topic 9.** A systematic approach to the study of literature. The history of the formation of a systematic approach to the study of literature. The concept of fiction as a system. The significance of the works of Yu.N. Tynyanov, I.G. Neupokoeva, D.S. Likhachev. Methodological specificity of the system approach. A systemic synergetic approach to the study of literature.
- **Topic 10. Biographical method.** The tasks of the biographical method. Biography and personality of the writer. Features of the reflection of the biography of the writer in his work.

Biographical and artistic (conceptual) author. The value of the biographical method and its place in modern literary studies.

- **Topic 11. Comparative-historical method.** The tasks of the comparative-historical method. Cultural-historical and comparative- historical methods. "Historical Poetics" of A.N. Veselovsky. The content of the concept of "regularity" in literary study. The principles of the comparative-historical method. The significance of the works of V.M. Zhirmunskiy. Comparative and contrastive analysis of an artistic work.
- **Topic 12. Sociological method.** Literature as a social phenomenon. The social significance of literature. Literature and Life. The principles of the sociological method. The relationship of the sociological method with the psychological approach and receptive aesthetics. Sociological method and gender approach.
- **Topic 13. Historicism of literature.** The content of the concept of "historicism". Historical approach to the study of works of literature and folklore. Historical and artistic truth. Reflection of the historical era in works of literature and folklore. Historical images in works of literature and folklore.
- **Topic 14. Psychoanalytic approach.** The objectives of the psychoanalytic approach. Psychological and psychoanalytic approaches in literary studies. Psychological aspects of artistic work. The influence of literature on the mind of the reader. Bibliotherapy, its subject and objectives. Prospects for the development of psychoanalytic literary study and bibliotherapy.
- **Topic 15. Interdisciplinary researches in literary studies.** Tendencies of the development of modern literary study. Globalization and integration of sciences. Literary study in the system of modern sciences. The importance of interdisciplinary researches. Features of an interdisciplinary approach to the study of artistic literature.

Discipline «Theory of artistic text»

- **Topic 1.** The main approaches and directions of the study of artistic text. The structural and functional approach, the teleological approach, the literary approach and the historical and sociological direction of the study of a literary text. Cultural and psychological direction. Literary hermeneutics, receptive theory. Formalism in literary criticism. A semiotic approach to the study of literary text and structuralism. Deconstructivism and its basic methods of studying a literary text. Cognitive direction and its role in comprehension of the text. Linguistic approach.
- **Topic 2. The artistic world and its main components.** The plot space of literary text. Prediction as a mechanism for deploying and creating complex text characters. The metonymic principle of the representation of action in the literature. Linear and cyclic actions and their transition to the events of the work. The theory of affective plot units (W. Lehnert) and its role in comprehending the effects of a work of art. Time and space in literature. Real and artistic chronotopes. The plot and fabula of the work.
- **Topic 3.** The form and content of the artistic text. The basic principles and ideas of the hypothesis of the linguistic similarity of cultural and social phenomena (Yu.M. Lotman, K. Levy-Strauss, R. Bart). Literature as a "secondary coding system" (theory of Yu. M. Lotman). Understanding the literary text as a "tertiary coding system". Linguistics of the literary text. Iconic text.
- **Topic 4. The nature of literary work.** The dualistic nature of literature as a verbal art. Antique representations of creativity and creative personality. Understanding the nature of art in the era of classicism and romanticism. The value and main provisions of the works of positivists (O. Comte, I. Ten and others). The concept of literary influences. Theory of intertextuality Yu. Kristeva. Ch. Lombroso on the creative person. Freudian trend in literary studies.
- **Topic 5. The image of the author in an artistic text.** Biographical approach to the study of literature. Author and narrator, the proximity of their images. Reflection of the facts of the biography of the writer in the content of the work of art. Types of author's narration. Classification of texts through the prism of the "text-author" problem: narrative text, representatively oriented text. The narrator's plan and its reflection in the works of modern literature.

- **Topic 6. Literature as an expression of relationships and moods.** Literature as a carrier of a certain ideology. An understanding of literature in critical realism and by romantics. Expressive function of literature. Receptions of the artistic image of the spiritual world of the author. The rhythm of feeling and its reflection in the structure of a literary text. Attitude and mood, ways of their reflection in the works of literature. Literary style. Humor and irony in a literary text, their functional significance. Comic works of literature.
- **Topic 7.** The narrator's plan and the character's plan as a form of representation of the art world. The narrator's plan, its features. Narrative as a key element in the narrator's plan. The process of narratization in literary critical discourse. Narrator and lyrical hero, their differences. Personal and impersonal narrative, forms of their reflection in the work. Character outline. "Another's speech." Ways of organizing someone else's speech in the structure of a work of art. Direct speech. Polyphonic organization of literary text. The stream of consciousness, especially the reflection in it of the narrator's plan and the character's plan.
- **Topic 8. The addressee of the artistic text.** Prerequisites for understanding the meaning of a literary text. The concept of literary competence. Real and perfect reader. The components of the literary competence of the reader. Positioning of the perceiving subject.
- **Topic 9. Principles of interpretation of an artistic text.** The nature of the relationship between the "reader" and the "text". The nature of reading perception of an artistic text. Types of "understanding" of an artistic work according to N. Fry. Interpretation of an artistic text and its contextualization. Artistic text as a taxonomic structure. Perception of an artistic work as a model of the world. The main approaches to the interpretation of a literary text: ethical, receptive. Basic procedures for the interpretation of an artistic text.
- **Topic 10. Artistic landscape and psychologism in a work of literature.** Landscape as a form of psychologism. Landscape as a form of disclosure of the internal state of the characters. Landscape as a psychological background for the development of the plot. Landscape through the perception of the hero. Landscape as a form of the presence of the author.
- **Topic 11. Types of psychological portrait.** Portrait as an integral part of the psychological characteristics of the hero. Poetry of portrait images. An abstract portrait in the definition of A. Beletsky. Portrait in the process of creating an image. Subjectivism and objectivity of the portrait. Individual and mass portrait. The role of detail in the portrait. Folklore traditions in portraiture.
- **Topic 12. The psychological function of narrative.** Narrative and narrative. The problem of the author, narrator, and narrator in narrative typology. Compositional speech forms of narrative. The narrative system of the novel. Narrative as an object of study in narratology.
- **Topic 13. The visual system of folklore and literature and psychological parallelism.** Psychological parallelism in the theory of folklore A. Veselovsky. Psychological parallelism in folklore at the intonational level. Psychological concurrency, epithet and trails. Psychological parallelism at the plot level. Psychological parallelism in the description of the landscape.
- **Topic 14. The principles of the analysis of an artistic text.** Basic methods and techniques for the analysis of an artistic text. Textological analysis. Text and reality. The nature of artistic text. Speech of the author and heroes. Features of the language of a literary work and artistic style.
- **Topic 15. Text and genre of a literary work.** Classification of literary texts. Kind and genre of a literary work. Features of epic, dramatic and lyrical works. Genre as a historical phenomenon. Intertextual nature of the genre.

3. List of references.

Main:

- 1. Afanas'ev A.S. Gendernyj aspekt izucheniya literatury: uchebnoe posobie. M.: FLINTA: Nauka, 2017. 96 s.
- 2. Adebiet teoriyasy. Antologiya: 4-tomdyk / Rajan M., Rivkin Dzh. Astana: «Ulttyk audarma byurosy» kogamdyk kory, 2019.
- 3. Boldonova I.S. Literaturnaya germenevtika: uchebnoe posobie. Ulan-Ude: BGU, 2013. 88 s.

- 4. Esin A.B. Principy i priemy analiza literaturnogo proizvedeniya: uchebnoe posobie. 4-e izd. M.: Flinta, Nauka, 2016. 248 s.
- 5. Istoriya literaturovedeniya: uchebnik i praktikum dlya vuzov / Pod red. O.YU. Os'muhinoj. 2 izd-e, pererab. i dop. M.: YUrajt, 2020. 342 s.
 - 6. XXI gasyrdgғy kazak adebieti (2001-2011 zhzh.). Almaty: Arda, 2016. 640 b.
- 7. Korovajko I.V. Inovacionnye tekhnologii obucheniya v vuze: uchebnoe posobie. Almaty: Otan, 2020. 232 s.
 - 8. Krupchanov L.M. Teoriya literatury. M.: Vysshaya shkola, 2017. 360 s.
- 9. Pogrebnaya YA.V. Aktual'nye problemy sovremennoj mifopoetiki: uchebnoe posobie. 2-e izd. M.: Flinta, 2016. 322 s.
 - 10. Mihajlov N.N. Teoriya hudozhestvennogo teksta. M.: Akademiya, 2006. 224 s.
- 11. Rajan M. Adebiet teoriyasy. Kirispe. Astana: «Ulttyk audarma byurosy» kogamdyk kory, 2019. 292 b.
- 12. Soltanaeva E.M. Adebi komparativistika (kazak-orys adebi bajlanystarynyn negizinde): oku kuraly. Almaty: Kazak universiteti, 2016. 177 b.
 - 13. Spiridonova L. Tekstologiya: teoriya i praktika. M.: IMLI RAN, 2020. 256 s.
- 14. Tileuova A.Z. Kazak adebietin okytudgry zhana tekhnologiyalar: darister kursy. Almaty: Otan, 2021. 160 b.
- 15. Eko U. Otsutstvuyushchaya struktura. Vvedenie v semiologiyu. M.: Corpus, 2019. 704 s.

Additional:

- 1. Baltabaeva G.S. Təuelsizdik kezeңindegi қаzақ prozasy: оқи құraly. Almaty. ҚаzМетҚуzPU, 2012. – 273 b.
 - 2. Borev YU. Estetika. V 2-h tomah. M.: Vysshaya shkola, 2018. 511 s.
- 3. Kagan F.I., Belugina G.K. Hudozhestvennye stili, napravleniya i techeniya vtorogo tysyacheletiya: uchebnoe posobie. Ivanovo: IGPU, 2016. 44 s.
- 4. Kremencov L.P. Teoriya literatury. CH
tenie kak tvorchestvo: uchebnoe posobie. M.: Flinta,
 $2017.-169 \ \mathrm{s}.$
- 5. Literatura narodov Kazahstana: monografiya / Otv. red. S.A. Kaskabasov, Almaty, NIC «Fylym», 2004. 352 s.
- 6. Majtanov B.K. Psihologizm v hudozhestvennoj literature. Uchebnoe posobie. Almaty: Kazak universiteti, 2004. 204 b.
 - 7. Meletinskij E.M. Poetika mifa. M.: Flinta, 2019. 407 s.
 - 8. P'ege-Gro N. Vvedenie v teoriyu intetekstual'nosti. M.: LKI, 2008. 240 s.
 - 9. Sovremennaya tekstologiya: teoriya i praktika. M.: Nasledie, 2017. 200 s.
 - 10. Sujinshaliev H. Kazak adebietinin tarihy: okulyk. Almaty: Sanat, 1997. 928 b.
- 11. Temirbolat A.B. Kategorii hronotopa i temporal'nogo ritma v literature. Almaty: Cennye bumagi, 2009. 505 s.
 - 12. SHmid V. Narratologiya.. M.: YAzyki slavyanskoj literatury, 2003. 312 s.
- 13. Esteticheskaya mnogoplanovost' gumanitarnogo diskursa literatury Kazahstana perioda Nezavisimosti / Dzholdasbekova B.U., ZHaksylykov A.ZH., Sarsekeeva N.K. i dr. Almaty: Қаzақ universiteti, 2016. 280 s.
- 14. Etkind E.G. Psihopoetika. Vnutrennij chelovek i «vneshnyaya rech'». Stat'i i isssledovaniya. SPb.: Iskusstvo-SPb, 2005. 704 s.
 - 15. Yung K. Arhetipy i kollektivnoe bessoznatel'noe. M.: AST, 2019. 496 s.